United Nations CAC/COSP/IRG/2018/11

Conference of the States Parties to the United Nations Convention against Corruption

Distr.: General
14 September 2018

Original: English

Implementation Review Group
Second resumed ninth session
Vienna, 12–14 November 2018
Item 5 of the provisional agenda*
Financial and budgetary matters

Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Note by the Secretariat

I. Introduction

1. The present note complements the previous note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2018/4), which was presented at the ninth session of the Implementation Review Group.

2. It provides updated budgetary information on expenditures incurred so far for the operation of the first and second cycles of the Mechanism, resources received both from the regular budget and from voluntary contributions, projected expenditures and the current shortfall on resource requirements for the functioning of the Mechanism.

II. Regular budget resources and expenditures for the Implementation Review Mechanism

3. Table 1 presents regular budget resources and expenditures for the bienniums 2010–2011, 2012–2013, 2014–2015, 2016–2017 and 2018–2019.

* CAC/COSP/IRG/2018/1/Add.2.

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Table 1
Interim statement of regular budget expenditures for the functioning of the Implementation Review Mechanism

(United States dollars)

Budgetary item	*Budget, 2010–2011*	*Expenditures, 2010–2011*	*Budget, 2012–2013*	*Expenditures, 2012–2013*	*Budget, 2014–2015*	*Expenditures, 2014–2015*	*Budget, 2016–2017*	*Expenditures, 2016–2017*	*Budget, 2018–2019*	*Expenditures,[a] 2018–2019*
Posts and related general operating expenses										
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level), and 1 P-4 and 2 P-3 as of 2018[b])	1 458 600	1 474 100	2 497 800	2 497 000	2 634 400	2 320 800	2 341 800	2 479 800	2 970 100	998 200
Computer maintenance	23 400	23 400	26 400	35 100	26 300	17 700	31 000	31 000	44 000	25 700
Communications	27 000	27 000	30 000	30 000	30 000	23 800	30 000	30 000	41 100	24 000
Subtotal	**1 509 000**	**1 524 500**	**2 554 200**	**2 562 100**	**2 690 700**	**2 362 300**	**2 402 800**	**2 540 800**	**3 055 200**	**1 047 900**
Implementation Review Group										
Interpretation[c]	465 600	351 300	461 400	317 000	388 800	302 800	405 000	329 000	405 000	49 600
Translation of documentation[d]	472 900	534 900	401 600	2 569 400	361 400	1 440 000	1 084 000	3 776 300	1 108 200	289 800
Subtotal	**938 500**	**886 200**	**863 000**	**2 886 400**	**750 200**	**1 742 800**	**1 489 000**	**4 105 300**	**1 513 200**	**339 400**
Total	**2 447 500**	**2 410 700**	**3 417 200**	**5 448 500**	**3 440 900**	**4 105 100**	**3 891 800**	**6 646 100**	**4 568 400**	**1 387 300**

[a] Expenditures for the biennium 2018–2019 are those as at 31 July 2018.

[b] In this table, the nine posts that were authorized in the previous bienniums are costed as continuing posts and the three posts (1 P-4, 2 P-3) that were approved by the General Assembly in December 2017 are costed as new posts.

[c] With regard to the biennium 2018–2019, interpretation was provided for a total of five meetings for the ninth session of the Implementation Review Group, in June 2018. For the previous bienniums, interpretation was provided for a total of 34 meetings in the biennium 2010–2011, 28 meetings in the biennium 2012–2013, 25 meetings in the biennium 2014–2015 and 14 meetings in the biennium 2016–2017.

[d] At the ninth session of the Implementation Review Group, in June 2018, translation was provided for a total of 848 pages of documentation. For the previous bienniums, translation was provided for a total of 268 pages in the biennium 2010–2011, 1,340 pages in the biennium 2012–2013, 797 pages in the biennium 2014–2015 and 2,090 pages in the biennium 2016–2017. The higher number of pages in the bienniums 2012–2013, 2014–2015 and 2016–2017 was due to the high number of completed executive summaries of country review reports. While the budget for translation of documentation was based on the assumption that 160 countries in total would be reviewed under the first cycle, the actual number of countries being reviewed under the first cycle grew to 184 as at 31 July 2018, since more countries ratified or acceded to the Convention than had been forecasted in 2009. The Conference Management Service of the United Nations Office at Vienna was able to cover the additional expenditures for translation of documentation in the reporting period through the reallocation of existing translation resources under Section 2 of the approved regular budget. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

III. Estimates and extrabudgetary expenditures for the first cycle of the Implementation Review Mechanism

4. Table 2 presents estimates and final extrabudgetary expenditures for the first and fifth years, as well as estimates and interim expenditures for the second, third and fourth years, respectively, for the operation of the first cycle of the Mechanism. The first year of the Mechanism started in June 2010. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 27 countries under review. The second year of the Mechanism started in June 2011. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 41 countries under review. The third year of the Mechanism started in June 2012. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 35 countries under review. The fourth year of the Mechanism started in June 2013. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 81 countries under review. The fourth group of countries includes those that were part of the fourth group in June 2013 and those that have ratified or acceded to the Convention since June 2013. The fifth year started in June 2014 and ended in December 2017. The fifth year only covers expenditures related to staff financed through extrabudgetary resources working in support of the first cycle between June 2014 to December 2017 and the participation of least developed countries in sessions of the Implementation Review Group, which were held in 2014 and 2015. Expenditures that relate to training provided in relation to country reviews conducted after 31 May 2014 are reported under the fourth year of the Review Mechanism. Other expenditures that relate to the second, third or fourth group of countries under review are reported under the second, third and fourth year of the Review Mechanism, respectively. Expenditures that relate to countries that have ratified the Convention since June 2014 are reported under the fourth year of the Review Mechanism.

Table 2
Estimates and extrabudgetary expenditures for the five years of the first cycle of the Implementation Review Mechanism, as at 31 July 2018
(United States dollars)

Budgetary item	*Estimates for the first year*	*Expenditures for the first year*	*Estimates for the second year*	*Expenditures for the second year*	*Estimates for the third year*	*Expenditures for the third year*	*Estimates for the fourth year*	*Expenditures for the fourth year*	*Estimates for the fifth year*	*Expenditures for the fifth year*	*Estimates for all years*	*Expenditures for all years*
Travel of participants for country visits and joint meetings	607 500	607 500	758 200	753 000	632 600	609 100	1 233 800	1 120 900	-	-	3 232 100	3 090 500
Translation of working documentation	303 000	303 000	470 500	435 300	426 100	420 900	933 500	717 500	-	-	2 133 100	1 876 700
Participation of least developed countries in the sessions of the Implementation Review Group	185 900	185 900	287 000	287 000	251 700	251 700	290 500	290 500	522 000	522 000	1 537 100	1 537 100
Training of governmental experts	275 200	275 200	454 600	454 600	490 200	490 200	415 000	389 300	-	-	1 635 000	1 609 300
Consultants	99 900	99 900	-	-	-	-	-	-	-	-	99 900	99 900
1 P-3 post and 1 General Service (Other level) post	-	-	36 800	36 800	174 900	174 900	267 500	267 500	962 100	962 100	1 441 300	1 441 300
Computer maintenance and communications costs	-	-	1 800	1 800	7 100	7 100	7 600	7 600	24 300	24 300	40 800	40 800
Total	**1 471 500**	**1 471 500**	**2 008 900**	**1 968 500**	**1 982 600**	**1 953 900**	**3 147 900**	**2 793 300**	**1 508 400**	**1 508 400**	**10 119 300**	**9 695 600**
		First year		*Second year*		*Third year*		*Fourth year*				*All years*
Number of countries under review		**27**		**41**		**35**		**81**		**-**		**184**

IV. Estimates for the second cycle of the Implementation Review Mechanism

5. Table 3 shows the estimates and interim extrabudgetary expenditures for the operation of the first, second, third and fourth years of the second cycle. As explained in greater detail in the note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2018/4), the projections are based on the assumption that the cost-saving measures currently in place will continue to be applied. The first year of the second cycle of the Mechanism started in June 2016. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 29 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review until 31 June 2017. The second year of the second cycle of the Mechanism started in June 2017. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 48 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2017 and 30 June 2018. The third year of the second cycle of the Mechanism started in June 2018. The projected estimates for travel of participants for country visits and joint meetings, as well as translation of working documentation, is based on 37 countries. The fourth year of the second cycle of the Mechanism starts in June 2019. The projected estimates for travel of participants for country visits and joint meetings, as well as translation of working documentation, is based on 36 countries.

Table 3

Estimates and extrabudgetary expenditures for the four years of the second cycle of the Implementation Review Mechanism

(United States dollars)

Budgetary item	*Estimates for the first year*	*Expenditures for the first year*	*Estimates for the second year*	*Expenditures for the second year*	*Estimates for the third year*	*Expenditures for the third year*	*Estimates for the fourth year*	*Expenditures for the fourth year*	*Estimates for all years*	*Expenditures for all years*
Travel of participants for country visits and joint meetings	379 600	243 100	702 800	106 900	555 300	-	540 300	-	2 178 000	350 000
Translation of working documentation	363 700	206 900	601 900	155 200	464 000	-	451 400	-	1 881 000	362 100
Participation of least developed countries in the sessions of the Implementation Review Group	309 500	309 500	243 100	243 100	311 000	123 705	311 000	-	1 174 600	676 305
Training of governmental experts	409 800	409 800	350 000	182 500	352 000	-	352 000	-	1 463 800	592 300
Travel of UNODC experts to provide targeted assistance in countries under review	58 300	58 300	58 500	21 800	58 500	-	58 500	-	233 800	80 100
Additional posts (1 P-4, 2 P-3)	313 300	313 300	207 400	207 400	-	-	-	-	520 700	520 700
Computer maintenance and communications costs	7 900	7 900	5 100	5 100	-	-	-	-	13 000	13 000
Total	**1 842 100**	**1 548 800**	**2 168 800**	**922 000**	**1 740 800**	**123 705**	**1 713 200**	-	**7 464 900**	**2 594 505**
		First year		*Second year*		*Third year*	*Fourth year*			
Number of countries under review		**29**		**48**		**37**	**36**			

V. Resource requirements for the functioning of the Implementation Review Mechanism, extrabudgetary contributions received and funding shortfall

6. As shown in tables 4 and 5, a total of $14,935,600 in voluntary contributions has been received from Australia, Austria, Brazil, Canada, China, the European Commission, France, Germany, Italy, Japan, Liechtenstein, Mexico, Morocco, the Netherlands, Norway, Oman, Panama, Qatar, the Russian Federation, Saudi Arabia, Sweden, Switzerland, Turkey, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme (UNDP) between 2010 and 2018. In-kind contributions have also been provided by Angola, Argentina, Armenia, Australia, Austria, the Bahamas, Bahrain, Belize, Benin, Botswana, Brazil, Bhutan, Burkina Faso, Cabo Verde, Cameroon, China, Colombia, the Dominican Republic, Ecuador, Egypt, Fiji, Gabon, Granada, Greece, Haiti, India, Israel, Italy, Kazakhstan, Kenya, Kiribati, Liechtenstein, Madagascar, Malaysia, Mauritania, Morocco, Mozambique, Nepal, Qatar, Oman, Papua New Guinea, Portugal, the Russian Federation, Saudi Arabia, Senegal, Singapore, Solomon Islands, Swaziland, Tajikistan, Turkey, the United Arab Emirates, Uzbekistan and Vanuatu.

Table 4
Extrabudgetary contributions to the Implementation Review Mechanism, by year, as at 31 July 2018

(United States dollars)

	Extrabudgetary contributions
2010	1 999 600
2011	2 711 000
2012	2 094 500
2013	2 085 900
2014	2 031 100
2015	392 600
2016	814 900
2017	2 100 000
2018	706 000
Total all years	**14 935 600**

Table 5
Extrabudgetary contributors to the Implementation Review Mechanism, by year, as at 31 July 2018

	Extrabudgetary contributors
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, UNDP
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Italy, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2014	Australia, France, Norway, Oman, Panama, Russian Federation, Saudi Arabia, Sweden, United Kingdom, United States
2015	Australia, France, Mexico, Morocco, Sweden, Turkey, United States
2016	France, Japan, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, United States
2017	Austria, Canada, China, France, Germany, Italy, Japan, Liechtenstein, Mexico, Panama, Qatar, Russian Federation, Sweden, United States
2018	Australia, European Commission, France, Germany, Mexico, Sweden and Turkey

7. As shown in table 6, the voluntary extrabudgetary contributions received so far cover the costs for the operation of the first cycle and of the first two years of the second cycle of the Review Mechanism. A significant funding shortfall remains with regard to the third and fourth years of the second cycle.

Table 6
Extrabudgetary resource requirements for the functioning of the Implementation Review Mechanism, contributions received and funding shortfall, as at 31 July 2018

(United States dollars)

	Total estimated requirements	*Requirements covered by extrabudgetary contributions*	*Shortfall*
First cycle	10 119 300	10 119 300	-
Subtotal	**10 119 300**	**10 119 300**	-
Second cycle			
First year	1 842 100	1 842 100	-
Second year	2 168 800	2 168 800	-
Third year	1 740 800	805 400	935 400
Fourth year	1 713 200	-	1 713 200
Subtotal	**7 464 900**	**4 816 300**	**2 648 600**
Total, first and second cycles	**17 584 200**	**14 935 600**	**2 648 600**